



Attorney Docket No.: YOR920000606US1 (8728-422)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Erickson et al.

Examiner: Dinh, Khanh Q.

SERIAL NO.: 09/727,079

Group Art Unit: 2151

FILED: November 30, 2000

Dated: July 15, 2004

FOR: METHOD AND SYSTEM FOR MARKETPLACE SOCIAL PROXIES

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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JUL 23 2004

Technology Center 2100

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.

☒ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	24	24	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	3	3	0	x 43 =	\$0	x 86 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				140		290	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 15, 2004.

Dated: July 15, 2004

  
Nathaniel T. Wallace

Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$\_\_\_\_\_. Two (2) copies of this sheet are enclosed.

☐ A check in the amount of \$\_\_\_\_\_ is enclosed.

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLC  
130 Woodbury Road  
Woodbury, NY 11797  
(516) 692-8888

Respectfully submitted,



Nathaniel T. Wallace  
Reg No. 48,909  
Attorney for Applicant(s)

2151

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PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Erickson et al. DOCKET: YOR920000606US1 (8728-422)  
SERIAL NO.: 09/727,079 GROUP ART UNIT: 2151  
FILING DATE: 11/30/2000 EXAMINER: Dinh, Khanh Q.  
FOR: METHOD AND SYSTEM FOR MARKETPLACE SOCIAL PROXIES

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**AMENDMENT UNDER 35 U.S.C. §1.111**

Examiner:

This is in response to the Office Action mailed April 20, 2004. Please consider the following amendments and remarks.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 7/15/2004.

Dated: July 15, 2004

  
Nathaniel T. Wallace

